IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

2014 MAY 13 PK 4: 54

**Applicants** 

: John D. Affinito et al

Serial No.

: 09/811,919

Filed

: March 19, 2001

Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW

INDEX OF REFRACTION POLYMERS

Docket:

: BAT 0029 IA/31089.82

Art Unit

: 1762

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States P

22313-1450 on May 2

Reg. No. 33,758

a & Duir

Sir:

#### REQUEST FOR REFUND

Applicants hereby request a refund in the amount of \$130.00. On April 5, 2004 a Response was filed (copy enclosed) which included copies of the Response To Notice To File Missing Parts, the Return Post Card, and the Filing Receipt in order to overcome the objection to the declaration issued by the Examiner in the March 22, 2004 Office Action Summary. Within the copies of the Response To Notice To File Missing Parts (enclosed) there is a copy of the Credit Card Payment Form (account number blocked out). The Office charged our credit card an additional \$130.00 for the surcharge for late filing fee or oath (Missing Parts) previously charged to our credit card in October 2001.

Accordingly, Applicants request a refund in the amount of \$130.00 be credited back to the credit card or sent in the form of a check to the address below. Please direct any questions or comments to the undersigned attorney.

Adjustment date: 06/10/2004 EEKUBAY1 04/07/2004 AWDNDAF1 00000024 09811919 -130.00 OP 01 FC:1051

Respectfully submitted, DINSMORE & SHOHL LLP

Refund Ref: 06/10/2004

0030016305

Credit Card Refund Intal: \$130.00 One Dayton Centre

One South Main Street, Suite 500

Telephone: (937) 223-2050 Facsimile: (937) 223-0724

PLP/amm Encls.

Patricia L. Prior

Registration No. 33,758

SECTIONAL ABLE COPY

04/05 16:27 917038729306

04/05/2004 18:38 PAX 9372230724 DINSMORE & SHOHL DAY Ø 001 ACTIVITY REPORT STATUS AND ENTITY BRANCH \*\*\*\*\*\*\*\*\*\*\*\*\* ST. TIME DESTINATION TEL/ID NO. PGS. RESULT

2736 TRANSMIT

**BCM** 

23 | OK

80'80

April 5, 2004

### from PATRICIA L. PRIOR

Direct: 937-449-6449 / Fax: 937-223-0724 / patricia prior@dinslaw.com 4 MAY 13 PM 4:

To:

Examiner Bret P. Chen

Firm:

Commissioner for Patents

Fax Number:

(703) 872-9306

Client Number:

BAT 0029 IA/31089.82

Pages:

23

(including cover)

Comments:

Applicant

: Affinito et al

Serial No.

: 09/811,919

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: March 19, 2001

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: PLASMA ENHANCED CHEMICAL DEPOSITION FOR

HIGH AND/OR LOW INDEX OF REFRACTION POLYMERS

Docket No.

: BAT 0029 IA/31089.82

Examiner

: B.Chen

Art Unit

: 1762

If there are any problems in receiving this transmission, please call Lori L. Sollenberger (937) 223-2050 immediately. Thank you.

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This message is intended only for the use of the individuals or entity to which it is addressed and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this notice is not the intended recipient or the employee or agent responsible for delivering this message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this notice in error, please notify us immediately by telephone and return these papers to us at the address below via first class mail.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMISSION 179

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Complete If Known

Application Number 09/811,919 2004 HAY 13 Ph. U-54

FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 110.00

Complete If Known						•
Application Number	09/811,919	ZW4	HAY	13	P	11-
Filing Date	March 19, 2001					
First Named Inventor	John D. Affinito					
Examiner Name	Bret P. Chen					
Art Unit	1762					_
Attorney Docket No.	BAT 0029 IA/310	89.82				

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
☐ Check ☑ Credit card ☐ Money ☐ Other ☐ None 3. ADDITIONAL FEES						
Deposit Account:	Lene		8mai	LEntity	1	
Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filling fee or oath	
Deposit Account Name	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920°	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for repty within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Pee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Raissue filing fee	1403	290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) -0-	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from _	1501	1,330	2501	665	Utility issue fee (or reissue)	
Total Claims Extra Claims below Fee Paid  Total Claims X	1502	480	2502	240	Design issue fee	
Independent	1503	640	2503	320	Plant issue fee	
Cialma X X X X X X X X X X X X X X X X X X X	1460	130	1460	130	Petitions to the Commissioner	
Lerge Entity   Small Entity	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)   Code (\$) 1202 18   2202 9 Claims in excess of 20	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	i i
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1,129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810		For each additional invention to be	
1204 86 2204 43 ** Reissue independent delms over original patent	4004				examined (37 CFR 1.129(b))	
1205 18 2205 9 ** Reissue claims in excess of 20	1801	770	2801		Request for Continued Examination (RCE)	
and over original patent	1802	900	1802		Request for expedited examination of a design application	
SUBTOTAL (2) (\$) -0-	Other fo	ee (spe	city) T	emin	al Disclaimer	110.00
**or number previously paid, if greater, For Reissues, see above				iling Fe	o Dold	10.00

SUBMITTED BY

Name (Print/Type) Patricia L. Prior Registration No. (Afformer/Assert) 33,758 Telephone (937) 223-2050

Signature Date April 5, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1450.

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for FY 2004		Filin	g Date			March 19, 2001		14 4: 54
Effective 10/01/2003. Patent fees are subject to annual revision	.	First	Name	d Inve	intor	John D. Affinito		1
Applicant claims small entity status. See 37 CFR 1.27		Exar	niner i	Vame		Bret P. Chen		1
	_	Art L	Init			1762		1
TOTAL AMOUNT OF PAYMENT (\$) 110.00		Attor	пеу D	ocket i	No.	BAT 0029 IA/31089.82		<del>)</del>
METHOD OF PAYMENT (check all that apply)				FE	E CA	LCULATION (continued)		1
Check Credit card Money Order None  Deposit Account:  Deposit Account Number Deposit Account Number Deposit Account Name  The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee to the above-Identified deposit account.  FEE CALCULATION  1. BASIC FILING FEE  arge Entity Small Entity Fee Fee Fee Fee Fee Fee Description Fee Paid  1. BASIC FILING FEE  arge Entity Small Entity Fee	Fee Code 1051 1052 1053 1812 1804 1805 1251 1252 1253 1254 1255 1401 1402 1403	130 50 130 2,520 920 1,840 110 420 950 1,480 2,010 330 330 290	Fee Code 2051 2052 1053 1812 1804 1805 2251 2252 2253 2254 2255 2401 2402 2403	Fee (\$) 65 25 130 2,520 920° 1,840° 55 740 1,005 165 165 145	Surchicover Incover	nglish specification  ng a request for ex parte reexamination  sting publication of SIR prior to  ner ection  sting publication of SIR after  ner ection  sting publication of SIR after  ner ection  ston for reply within first month  sion for reply within second month  sion for reply within third month  sion for reply within fourth month  sion for reply within fifth month  of Appeal  trief in support of an appeal  st for oral hearing	Fee Paid	
2005 80 Provisional filing fee SUBTOTAL (1) (\$)	1451 1452	1,510	1451 2452			to institute a public use proceeding to revive - unavoidable		
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Indep	endent	-3"		1503	840	2503		Plant issue fee	
Claim: Multip	s ie Depe		- '' ^ <del>  </del>	1460	130	1460	130	Petitions to the Commissioner	
Large	Entity	1 Small Entity		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Fee	Fee	Fee Fee	Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	
Code 1202	( <del>5</del> ) 18	Code (\$) 2202 9	Claims in excess of 20	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201	86	2201 43	Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203	290	2203 145	Multiple dependent claim, if not paid	1810	770	2810		For each additional invention to be	<del></del> -
1204	86	2204 43	** Reissue independent claims				•••	examined (37 CFR 1.129(b))	
400-			over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205	18	2205 9	** Relasue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
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					_				10.00

1453 1,330

2453

55 Petition to revive - unavoidable

665 Petition to revive - unintentional

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

SUBMITTED BY (Complete (# applicable)) Name (Print/Type) Patricia L. Prior Registration No. 33,758 Telephone (937) 223-2050 Signature Date April 5, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

De Included on this form. Provide credit card information and authorization on P1U-2U38.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the inclividual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.



**OFFICIA** 

2014 MAY 13 PM 4: 54

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### In the Application of

**Applicant** 

: Affinito et al

Serial No.

: 09/811,919

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Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR

: LOW INDEX OF REFRACTION POLYMERS

Docket No. Examiner

: BAT 0029 IA/31089.82

: B.Chen

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office (Fax. No. 703/872-9306) on April 5, 2004

Art Unit : 1762

Reg. No. 33,758

Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

Sir:

### **RESPONSE**

This paper is being filed in response to the Office Action mailed March 22, 2004, having a reply due date of June 22, 2004. Reconsideration and reexamination are respectfully requested in light of the response and remarks below.

Serial No. 09/811,919 Docket No. BAT 0029 IA31089.82 STATUS AND ENTITY BRANCH

2004 MAY 13 PH 4 54

### **REMARKS**

Claims 1-26 are pending. Reexamination and reconsideration are requested in light of the accompanying remarks.

The declaration was stated to be defective because it was not executed. A signed declaration was mailed October 24, 2001 with a Response To Notice To File Missing Parts, and the Return Post Card was stamped by the Patent Office on January 11, 2002. A copy of the Response To Notice To File Missing Parts, the Return Post Card, and the Filing Receipt are enclosed. Applicants respectfully request that the objection to the declaration be withdrawn.

The rejection of claims 1-26 under the judicially created doctrine of obviousness type double patenting as being unpatentable over claims 1-23 of U.S. Patent No. 6,207,238 has been overcome. A terminal disclaimer accompanies this response.

### **CONCLUSION**

Applicants respectfully submit that, in view of the above response and remarks, the application is now in condition for allowance. Applicants respectfully request that claims 1-26 be passed to allowance.

If the Examiner has any questions or comments regarding the present application, please contact the undersigned at the telephone number indicated below.

Respectfully submitted,

DINSMORE & SHOHL LLP

Octucia J. Oliv Patricia L. Prior

One Dayton Centre
One South Main Street, Suite 500
Dayton, Ohio 45402-2023
Telephone: (937) 223-2050

Facsimile: (937) 223-2030

PTO/SB/26 (08-03)

Approved for use through 07/31/2006, OMB 0851-0031

U.S. Patent and Trademark Office; 03. UPPATIVE TO PROVIDE STATE OF TRANSPORT TERMINAL CAIMER TO OBVIATE A DOUBLE PATENTING

## **REJECTION OVER A PRIOR PATENT**

Docket Number (Optional)

2004

ABAT 9029 JA/31089.82

In re Application of: Affinito et al	<del></del>
Application No.: 09/811,919	
Filed: March 19, 2001	
For: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDEX OF REFRACTION	POLYMERS
The owner*, Battello Memorial Institute of of percent interest in the instant applications, except as provided below, the terminal part of the statutory term of any patent granted on the instant which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 and 17 shortened by any terminal disclaimer, of prior Patent No. 6,207,238 The owner hereby agrees so granted on the instant application shall be enforceable only for and during such period that it and the commonly owned. This agreement runs with any patent granted on the instant application and is binding upon its successors or assigns.	ant application, 3, as presently that any patent
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for full maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is in any manner terminated prior to the expiration of its full statutory term as presently shortened by disclaimer.	and 173 of the allure to pay a y disclaimed in
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of an organization (e.g., corporation, partnership, university, government etc.), the undersigned is empowered to act on behalf of the organization.	agency,
I hereby declare that all statements made herein of my own knowledge are true and that all statements information and belief are believed to be true; and further that these statements were made with the knowled false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 the United States Code and that such willful false statements may jeopardize the validity of the application issued thereon.	ge that willful
2. The undersigned is an attorney or agent of record.	
Gatricia & Du	Ì.
Signature	Date
Patricia L. Prior	
Typed or printed name	
(937) 223-2050	
Telephone Number	
Terminal disclaimer fee under 37 CFR 1.20(d) included.	
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).

Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



STATUS AND ENTITY AND TRADEMARK BANGEH STATUS AND ENTITY BRANCH

UNITED STATES DEPARTMENT OF COMMERCE

2004 MAY 13 PH 4: 54 COMMERCIAL FOR AND THAY 13 PH 4: 54

APPL NO. | FILING OR 371 | ART UNIT | FIL FEE RECT) | ATTY DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS | 109/811,919 | 03/19/2001 | 1762 | 988 | BAT 0029 IA | 4 26 2

**CONFIRMATION NO. 8947** 

Killworht, Gottman Hagan & Schaeff, L.L.P. Suite 500 One Dayton Centre Dayton, OH 45402-2023

RECEIVED OCT 05 2003 UPDATED FILING RECEIPT

\*OC000000010957659\*

OCT 0 6 2013

CLD/DOCKETING

Date Mailed: 10/01/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Filling Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filling Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

John D. Affinito, Tucson, AZ; Gordon L. Graff, West Richland, WA; Peter M. Martin, Kennewick, WA; Mark E. Gross, Pasco, WA; Paul E. Burrows, Kennewick, WA; Linda S. Sapochak, Henderson, NV;

### Domestic Priority data as claimed by applicant

This application is a CIP of 09/212,776 12/16/1998 PAT 6,207,238

Foreign Applications

If Required, Foreign Filing License Granted: 08/30/2001

Projected Publication Date: 01/08/2004

Non-Publication Request: No

Early Publication Request: No

Title



STATUS AND ENTITY BRANCH

ASE STAMP OR OTHERWISE INDICATE DAT. RECEIPT
OF ACCOMPANYING PAPERS AND RETURN TO USIN MAY 13 PH 4: 55

Papers: Fee Transmittal in duplicate, Credit Card Payment Form (PTO-2038) \$130.00, Response to Notice to File Missing Parts, return copy of Notice and Declaration and Power of Attorney (10 pgs)

Inventor(s): John D. Affinito et al.

Title: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDEX OF REFRACTION POLYMERS

Serial No.: 09/811,919

Docket No.: BAT 0029 IA

Date Due: 10/31/01

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ST AVAILABLE COPY PTO/SB/17 (10-01)
PTO/SB/17 (1 Complete if Known AIUS AND ENTI 09/811,919 **Application Number** for FY 2002 Filing Date March 19, 2001, 77 First Named Inventor John D. Affinito Examiner Name Patent fees are subject to annual revision. **Group Art Unit** 1762 TOTAL AMOUNT OF PAYMENT (\$) 130.00 Attorney Docket No. **BAT 0029 IA METHOD OF PAYMENT** 

The Commissioner is becally sufficient to	PEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES					
Deposit	Large Small					
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Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	<u>.</u>				
Applicant claims small entity status.	139 130 139 130 Non-English specification					
See 37 CFR 1.27  2. X Payment Enclosed:	147 2,520 147 2,520 For fiting a request for ex parte reexamination					
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Check X Credit card Money Order Other	Examiner action					
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action					
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month					
Large Entity Small Entity	116 400 216 200 Extension for reply within second month					
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month					
101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month					
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month					
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal					
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal					
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing					
011070711 111	138 1,510 138 1,510 Petition to institute a public use proceeding					
SUBTOTAL (1) (\$) -0-	140 110 240 55 Petition to revive - unavoidable					
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional					
Fee from Ext <u>ra Claims below Fee Paid</u>	142 1,280 242 640 Utility issue fee (or reissue)					
Total Claims20** = X =	143 460 243 230 Design issue fee					
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Multiple Dependent	122 130 122 130 Petitions to the Commissioner					
Large Entity Small Entity Fee Fee Fee Fee Description	123 50 123 50 Processing fee under 37 CFR 1.17(q) 126 180 126 180 Submission of Information Disclosure Strnt					
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and the dependent claim, it not paid	(37 CFR § 1.129(a))					
109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))					
110 18 210 9 ** Relssue claims in excess of 20 and over original patent	179 740 279 370 Request for Continued Examination (RCE)					
and a supplied to	169 900 169 900 Request for expedited examination of a design application					
SUBTOTAL (2) (\$) -0-	Other fee (specify)					
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**or number previously paid, if greater, For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 13	0.00				

SUBMITTED BY			Complete a	
Name (Print/Type)	Julie G. Cope	Registration No. (Attorney/Agent) 48,624	Complete (#	(937) 223-2050
Signature	Ville & Cope	(Attomey/Agent) 48,024	Date	
	MARAUMO A 6		Date	10/24/01

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will very depending upon the needs of the Individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



### Application of

204 MAY 13 PM 4: 55

**Applicants** 

: John D. Affinito et al.

Serial No.

: 09/811,919

Filed

: March 19, 2001

Title

: PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR

LOW INDEX OF REFRACTION POLYMERS

Docket

: BAT 0029 IA

Art Unit

: 1762

CERTIFICATE OF MAILING

**BOX MISSING PARTS** 

**Assistant Commissioner for Patents** 

Washington, DC 20231

Sir:

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Pursuant to the Notice to File Missing Parts, mailed August 31, 2001 in the aboveidentified application, enclosed is a properly signed declaration in compliance with 37 CFR §1.63 identifying the application by the above Serial Number and Filing Date, and the return copy of the Notice.

Please note that the application is now a Large Entity and a Credit Card Payment Form (PTO-2038) in the amount of \$130.00 as set forth in 37 CFR §1.16(e) is enclosed.

> Respectfully submitted, KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P.

Julie G. Cope

Registration No. 48,624

One Dayton Centre One South Main Street, Suite 500 Dayton, Ohio 45402-2023 Telephone: (937) 223-2050

Facsimile: (937) 223-0724

JGC/amm Encls.

UNITED STATES PATENT AND TRADEMARK-CONTICE AND ENTITY

BRANCH
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.uspto.gov

APPLICATION NUMBER

FILING SCEIPT DATE

PATENT ATTORNEY DOCKET NUMBER

09/811,919

RADEM \$3/19/2001

Gordon L. Graff

BAT 0029 IA

**CONFIRMATION NO. 8947** 

FORMALITIES LETTER

\*OC000000006502307\*

Killworht, Gottman Hagan & Schaeff, L.L.P. Suite 500 One Dayton Centre Dayton, OH 45402-2023

Date Mailed: 08/31/2001

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The cath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Cénter

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## DECLARATION AND POWER OF ATTORNEY (Division, Continuation, or C-I-P)

STATUS AND ENTITY BRANCH

PM 4: 55

2004	I EI YAN
Our residences, post office addresses and citizenships are as stated below next to our na	mes; that
We verily believe we are the original, first and sole inventor (if only one name is listed a joint inventor (if plural inventors are named below) of the invention entitled:	below) or
PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDIREFRACTION POLYMERS (Docket No. BAT 0029 IA), described and claimed in the attached specification;	
in the attached specification;  X in the specification filed March 19, 2001, as U.S. Application S  09/811,919, and as amended	Serial No.
We hereby authorize the attorney(s) and/or agent(s) appointed herein to indicate above we the invention is described and claimed in an attached specification and to provide the Fi and Serial No. of the corresponding U.S. Application, if previously filed.	whether ling Date
We hereby state that we have reviewed and understand the contents of the above identification, including the claims as filed and as amended by any amendment referred to	ied o above.
We acknowledge the duty to disclose to the Patent and Trademark Office all information to us to be material to patentability as defined in Title 37, Code of Federal Regulations,	1 known §1.56(a).
We hereby claim the benefit under Title 35, United States Code, §120 of any United State application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclomaterial information as defined in Title 37, Code of Federal Regulations, §1.56(a) which available between the filing date of the prior application and the national or PCT internationing date of this application:	by the
Application Serial No. Filing Date Status  09/212,776 12/16/98 Issued	

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard A. Killworth	Reg. No. 26,397	2004 MAY 13 PM 4: 55
James F. Gottman	Reg. No. 27,262	500 to 13 to 13 di 32
Timothy W. Hagan	Reg. No. 29,001	
James E. Beyer	Reg. No. 39,564	
Susan M. Luna	Reg. No. 38,769	
Patricia L. Prior	Reg. No. 33,758	
William A. Jividen	Reg. No. 42,695	
Thomas E. Lees	Reg. No. 46,867	
John D. Reed	Reg. No. 46,506	
Brian L. Smiler	Reg. No. 46,458	
Julie G. Cope	Reg. No. 48,624	
Robert L. Zieg	Reg. No. 20,500	•
Stephen R. May	Reg. No. 29,255	

Address all telephone calls to (937) 223-2050. Address all correspondence to: KILLWORTH, GOTTMAN, HAGAN & SCHAEFF, L.L.P., One Dayton Centre, One South Main Street, Suite 500, Dayton, Ohio 45402-2023.

We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first Inventor: JOHN D. AFPINITO

Inventor's signature

Residence:

5745 North Campbell Avenue

Tucson, AZ 85718

Citizenship:

U.S.A.

Post Office Address: same as above

STATUS AND ENTITY BRANCH

2004 MAY 13 PM 4: 55

Full name of	f second Inventor: GORDON L. GRAFF
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Full name of	third Inventor: PETER M. MARTIN
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STATUS AND BUTTY BRANCH

ZOT MAY IT TO A STATE

Full name of	f fourth Inventor: MARK E. GROSS
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Date:	•
Residence:	101105 Vaca Road Kennewick, WA 99337
Citizenship:	U.S.A.
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Richland, WA 99352

Post Office Address: same as above

Full name of	sixth Inventor: LINDA S. SAPOCHAK	
Inventor's sig	mature	STATUS AND ENTITY BRANCH
Date:	<del></del>	2004 MAY 13 PM 4: 55
Residence:	131 Hexham Drive Henderson, NV 89015	
Citizenship:	U.S.A.	



## DECLARATION AND POWER OF ATTORNEY (Division, Continuation, or C-I-P)

STATUS AND ENERTY BRANCH

As a below named inventors, we hereby declare that:

Application Serial No.

09/212,776

Filing Date

\_12/16/98

2004 MAY 13 FN 4:55

residences, post office addresses and citizenships are as stated below next to our names; that
We verily believe we are the original and joint inventors of the invention entitled:
PLASMA ENHANCED CHEMICAL DEPOSITION FOR HIGH AND/OR LOW INDEX OF REFRACTION POLYMERS (Docket No. BAT 0029 IA), described and claimed
in the attached specification;  X in the specification filed March 19, 2001, as U.S. Application Serial No. 09/811.919, and as amended
We hereby authorize the attorney(s) and/or agent(s) appointed herein to indicate above whether the invention is described and claimed in an attached specification and to provide the Filing Date and Serial No. of the corresponding U.S. Application, if previously filed.
We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims as filed and as amended by any amendment referred to above.
We acknowledge the duty to disclose to the Patent and Trademark Office all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a).
We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Status

Pending

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Richard A. Killworth James F. Gottman Timothy W. Hagan James E. Beyer Susan M. Luna Patricia L. Prior William A. Jividen Thomas E. Lees	Reg. No. 26,397 Reg. No. 27,262 Reg. No. 29,001 Reg. No. 39,564 Reg. No. 38,769 Reg. No. 33,758 Reg. No. 42,695 Reg. No. 46,867	2004 MAY 13 PM 4: 55
Patricia L. Prior William A. Jividen Thomas E. Lees John D. Reed Brian L. Smiler Julie G. Cope	Reg. No. 33,758 Reg. No. 42,695 Reg. No. 46,867 Reg. No. 46,506 Reg. No. 46,458 Reg. No. P-48,624	
Robert L. Zieg Stephen R. May	Reg. No. 20,500 Reg. No. 29,255	

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We further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first Inventor: JOHN D. AFFINITO			
Inventor's signature			
Date:			
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Citizenship:	U.S.A.		

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STATUS AND ENTITY BRANCH

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Full name of	f second Inventor: GORDON L. GRAFF
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Date:	4/7/01_
Residence:	3750 Westlake Drive West Richland, WA 99353
Citizenship:	U.S.A.
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	third Inventor: PETER M. MARTIN
Inventor's sig	nature Rt MMas
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Full name of fourth Inventor: MARK E. GROSS

STATUS AND ENTITY BRANCH

2004 NAY 13 PN 4: 55

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Date: 6/	11/01	
	101105 Vaca Road Kennewick, WA 99337	
Citizenship:	U.S.K. UK 10/23/01	
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Full name of sixth Inventor: Linda S. Sapochak

Inventor's signature STATUS AND ENTITY
BRANCH

Date: 6 / 18 / 01 204 MAY 13 PM 4: 55

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